


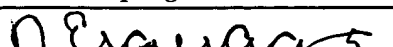


IPW

|  |                      |                        |           |
|--|----------------------|------------------------|-----------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application No.      | 10/791,240             |           |
|  | Filing Date          | March 1, 2004          |           |
|  | First Named Inventor | John Brinkman          |           |
|  | Art Unit             | 2837                   |           |
|  | Examiner Name        | Donels, Jeffrey        |           |
| Total Number of Pages in This Submission   | 6                    | Attorney Docket Number | 3992P006C |

| ENCLOSURES (check all that apply)  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input checked="" type="checkbox"/> PTO/SB/08<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Basic Filing Fee<br><input type="checkbox"/> Declaration/POA<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Cited foreign reference</div> |
| Remarks  |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Eric T. King, Reg. No. 44,188<br><br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP         |
| Signature                                  |  |
| Date                                       | May 2, 2005   |

| CERTIFICATE OF MAILING/TRANSMISSION   |   |      |             |
|---|---|------|-------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |   |      |             |
| Typed or printed name   | Nicole Erquiaga   |      |             |
| Signature   |  | Date | May 2, 2005 |



# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Complete if Known

|                      |                 |
|----------------------|-----------------|
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| Filing Date          | March 1, 2004   |
| First Named Inventor | John Brinkman   |
| Examiner Name        | Donels, Jeffrey |
| Art Unit             | 2837            |
| Attorney Docket No.  | 3992P006C       |

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☐ Credit any overpayments

## FEE CALCULATION

| Large Entity              |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------------|----------|--------------|----------|--|----------|
| Fee Code                  | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                      | 130      | 2051         | 65       | Surcharge - late filing fee or oath                              |          |
| 1052                      | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.          |          |
| 2053                      | 130      | 2053         | 130      | Non-English specification  |          |
| 1251                      | 120      | 2251         | 60       | Extension for reply within first month                           |          |
| 1252                      | 450      | 2252         | 225      | Extension for reply within second month                          |          |
| 1253                      | 1,020    | 2253         | 510      | Extension for reply within third month                           |          |
| 1254                      | 1,590    | 2254         | 795      | Extension for reply within fourth month                          |          |
| 1255                      | 2,160    | 2255         | 1,080    | Extension for reply within fifth month                           |          |
| 1401                      | 500      | 2401         | 250      | Notice of Appeal   |          |
| 1402                      | 500      | 2402         | 250      | Filing a brief in support of an appeal                           |          |
| 1403                      | 1,000    | 2403         | 500      | Request for oral hearing   |          |
| 1451                      | 1,510    | 2451         | 1,510    | Petition to institute a public use proceeding                    |          |
| 1460                      | 130      | 2460         | 130      | Petitions to the Commissioner                                    |          |
| 1807                      | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)                              |          |
| 1806                      | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                        |          |
| 1809                      | 790      | 1809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))    |          |
| 1810                      | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b)) |          |
| Other fee (specify) _____ |          |              |          |  |          |
| SUBTOTAL (2)              |          |              |          | (\$)   |          |

## SUBMITTED BY

|                   |              |                                      |          |           |                |
|-------------------|--------------|--------------------------------------|----------|-----------|----------------|
| Name (Print/Type) | Eric T. King | Registration No.<br>(Attorney/Agent) | 44,188   | Telephone | (714) 557-3800 |
| Signature         |              | Date                                 | 05/02/05 |           |                |



Docket No.:003992.P006C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John Brinkman

Application No.: 10/791,240

Filed: March 1, 2004

For: Computing Device to Allow for the  
Selection and Display of a Multimedia  
Presentation of an Audio File and to  
Allow a User to Play a Musical  
Instrument in Conjunction with the  
Multimedia Presentation

Examiner: Jeffrey Donels

Art Group: 2837

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR RULE 1.97  
AND  
CROSS REFERENCED TO RELATED APPLICATIONS UNDER 37 CFR § 1.78 (a) (2)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants, in accordance with their duty of disclosure under 37, CFR §1.56 and in accordance with 37 CFR §1.97 and 37 CFR §1.78 (a) (2), hereby disclose and cross-reference to the following related patent applications all of which are assigned or under an obligation to assign to the same assignee as the above referenced patent application.

U.S. Patent Application entitled System and Method for Delivering a Multimedia Presentation to a User and to Allow the User to Play a Musical Instrument in Conjunction with the Multimedia Presentation by Brinkman et al., U.S. Application No. 09/990,532, filed November 21, 2001, attorney docket reference no. 003992.P002.

U.S. Patent Application entitled Interface Device to Couple A Musical Instrument to a Computing Device to Allow a User to Play a Musical Instrument in Conjunction with a Multimedia Presentation by Brinkman et al., U.S. Application No. 09/990,801, filed November 21, 2001, attorney docket no. 003992.P003.

Pursuant to 37 CFR §1.97, this submission is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

This information disclosure statement is being filed before receiving a first Office Action on the merits of the above referenced U.S. Patent Application. Therefore, no filing fee is necessary. However, if any petition is needed it is hereby petitioned and to the extent any fee is due, please charge any shortage in fees in connection with the filing of this paper to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

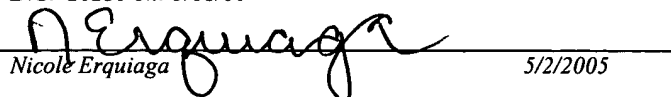
Dated: May 2, 2005

  
ERIC T. KING  
Reg. No. 44,188

12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800

**CERTIFICATE OF MAILING**

*I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: 5/18/01*

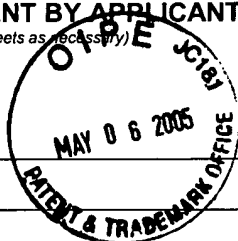
  
Nicole Erquiaga 5/2/2005

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Sheet 1 of 1

Complete if Known

|                             |                |
|-----------------------------|----------------|
| <b>Application Number</b>   | 10/791,240     |
| <b>Filing Date</b>          | March 1, 2004  |
| <b>First Named Inventor</b> | Brinkman, John |
| <b>Group Art Unit</b>       | 2837           |
| <b>Examiner Name</b>        | Jeffrey Donels |

Attorney Docket No: 3992P006C

**US PATENT DOCUMENTS**

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|---------------------|------------------|---|---|
|                    | US-20010036620      | 11/01/2001       | Peer, Scott C., et al.                          |   |
|                    | US-20030094091      | 05/22/2003       | Brinkman, John , et al.                         |   |
|                    | US-20030140770      | 07/31/2003       | Barry, James A.                                 |   |
|                    | US-6,570,080        | 05/27/2003       | Hasegawa, Yutaka , et al.                       |   |
|                    | US-6,660,922        | 12/09/2003       | Roeder, Steve                                   |   |
|                    | US-6,740,803        | 05/25/2004       | Brinkman, John , et al.                         |   |
|                    | US-6,751,439        | 06/15/2004       | Tice, Deanna L., et al.                         |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>2</sup> |
|--------------------|---------------------|------------------|---|---|----------------|
|                    | WO-WO0120594        | 03/22/2001       | Kim, Yuen-Jung , et al.                         |   |                |

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|----------------------|---|----------------|
|--------------------|----------------------|---|----------------|

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached